

SIEMENS Corporation

IPD-West Coast

4900 Old Ironsides Drive, M/S 503

P.O. Box 58075

Santa Clara, CA 95052-8075

PATENT APPLICATION

Attorney Docket No. : 99P7442US01

Express Mail No. EL230593546US

Filed: August 19, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

TRANSMITTAL LETTER FOR NEW APPLICATION

Sir:

Transmitted herewith for filing is a(n) ☒ Original patent application.
☐ Utility ☐ Design ☒ Continuation-in-part application

INVENTOR(S): Shmuel Shaffer and William J. Beyda

TITLE: SYSTEM AND METHOD FOR DYNAMIC CODEC ALTERATION

Enclosed are:

- ☒ Twenty (20) pages of specification.
☒ Eight (8) sheets of drawings ☐ formal drawings ☒ informal drawings (one set)
☒ The Declaration and Power of Attorney ☒ signed ☐ unsigned
☒ An Assignment Transmittal and Assignment of the invention to: Siemens Information and Communication Networks, Inc.
☒ Information Disclosure Statement with 1 cited reference
☒ Filing fee has been calculated as shown below (other than small entity):

For	Number Filed	Number Extra	Rate	Additional Fees
Total Claims	13 - 20	= 0	x \$ 18	\$ 0.00
Indep. Claims	3 - 3	= 0	x \$ 78	\$ 0.00
<input type="checkbox"/> First Presentation of a Multiple Dependent Claim			x \$260	\$-0-
			Basic filing Fee	\$760.00
			Total	\$760.00

Please charge my Deposit Account No. 19-2179 in the amount of \$ 760.00 . The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Account No. 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

PLEASE MAIL CORRESPONDENCE TO:

Siemens Corporation

Attn: Elsa Keller, Legal Administrator

Intellectual Property Department

186 Wood Avenue South

Iselin, NJ 08830

Respectfully submitted,



Rosa S. Kim

Attorney for Applicant(s)

Reg. No.: 39,728

Date: 8-19-99

Telephone: 408/492-4956

"Express Mail" mailing label number EL230593546US

Date of Deposit August 19, 1999.

Attorney Docket No. 99P7442US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

This is a U.S. Patent Application for:

TITLE: SYSTEM AND METHOD FOR DYNAMIC CODEC ALTERATION

Inventor #1: Shmuel SHAFFER
Address: 1211 Cowper Street, Palo Alto, CA, 94301
Citizenship: USA

Inventor #2: William J. BEYDA
Address: 21580 Edward Way, Cupertino CA 95014
Citizenship: USA

66250-9600

CROSS REFERENCE TO RELATED APPLICATION

The present application is a continuation-in-part of U.S. Patent
5 Application Serial No. 09/238,671, entitled "System and Method for Coding
Algorithm Policy Adjustment in Telephony-Over-LAN Networks" (with
inventors Shmuel Shaffer, William J. Beyda and Uwe Wrede), filed January
26, 1999.

BACKGROUND OF THE INVENTION

10

The present invention relates to telecommunications systems, and in
particular, to an improved telephony-over-LAN (local area network) system.

Modern telephony-over-LAN (ToL) systems allow each endpoint (e.g.,
client, gateway) to choose a default hierarchy of coding algorithms. For
15 example, an endpoint might be configured to first try using adaptive pulse
code modulation (ADPCM), next G.723, then GSM, etc., until a common
codec supported by both the calling and called endpoints is found.

However, the endpoints or clients typically have static configurations of
preferred codecs. As a consequence, network bandwidth is assigned on a
20 simple availability basis, without regard to other users who might wish to
place phone calls in the future. As a consequence, a few users who
communicate using coding algorithms that result in high bandwidth
consumption could use the entire network bandwidth, without even realizing
bandwidth was in short supply, thereby preventing others from placing calls.
25 As such, system bandwidth may be inefficiently utilized and even result in
denial of service to some users. Moreover, subsequently callers with a higher
QoS (quality of service) may be forced to use a less optimal codec while a
preceding call with a low QoS communicates using its desired codec.

While certain data modems, such as described in U.S. Patent No.
30 5,546,395, allow for dynamic bandwidth adjustment between two
communicating endpoints, by way of selecting the compression rates for
voice transmission and the modulation rate, such systems do not allow for
broad network-based supervision of bandwidth allocation.

SUMMARY OF THE INVENTION

These and other drawbacks in the prior art are overcome in large part by a coding algorithm policy adjustment system according to the present invention. A bandwidth adjustment server or bandwidth allocation server (BWAS) is provided which monitors system bandwidth usage, sends requests to user terminals to identify their coding capabilities, and directs each of the user terminals to adjust their coding algorithms based on system bandwidth usage. If system bandwidth usage is high, the BWAS requires the user terminals to employ a less bandwidth-intensive coding algorithm; similarly, when system bandwidth usage is low, the BWAS will allow the user terminals to employ higher bandwidth-use coding algorithms.

The BWAS is configured with a first threshold identified as the threshold for reducing the coder/decoder (codec) speeds of the idle endpoints. The BWAS monitors system traffic, or communicates with other system monitors to determine system bandwidth usage. The BWAS sends a message to the user terminals, requiring them to identify their coding capabilities and the specific hierarchy used by them. Once this information is returned to the BWAS, the BWAS sends another message requiring the user terminals to lower their bandwidth usage by selecting a lower speed codec. When network traffic drops below a second pre-configured threshold, the BWAS sends another message allowing the user terminals to restore their original codec choices.

The BWAS according to one embodiment monitors bandwidth usage, and if there is a disparity between the bandwidth allocated to new connections versus ongoing ones or an increase in data traffic, the BWAS sends a Lower Codec Speed message to all active H.323 entities. This causes the H.323 entities to renegotiate their codecs. The original calling party then selects a lower speed codec and sends a message to the called party to proceed with H.323 codec negotiation.

A better understanding of the invention is obtained when the following detailed description is considered in conjunction with the following drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagram illustrating a telecommunications system according to an embodiment of the invention;

FIG. 2 is a diagram of an exemplary H.323 interface according to an
5 embodiment of the invention;

FIG. 3 is a diagram illustrating an exemplary bandwidth allocation server (BWAS) according to an embodiment of the invention;

FIG. 4 is a flowchart illustrating operation of an embodiment of the invention;

FIG. 5 is a flowchart illustrating operation of another embodiment of the
10 invention;

FIG. 6 is a flowchart illustrating communication employing an embodiment of the invention;

FIG. 7 is a flowchart illustrating operation of an embodiment of the
15 invention;

FIG. 8 is a flowchart illustrating bandwidth monitoring according to another embodiment of the invention;

FIG. 9 is a flowchart illustrating operation of an embodiment of the invention; and

FIG. 10 is a flowchart illustrating operation of another embodiment of
20 the invention.

DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 is a diagram illustrating a telecommunications system 100
25 according to an embodiment of the present invention. In particular, the telecommunications system 100 includes a local area network (LAN) or packet network 101. Coupled to the LAN 101 may be a variety of H.323 terminals 102A, 102B, a multi-point control unit (MCU) 104, an H.323 gateway 106, an H.323 gatekeeper 108, a LAN server 112 and a plurality of
30 other devices such as personal computers (not shown). The H.323 terminals 102A, 102B are in compliance with the H.323 standard. Thus, the H.323 terminals 102A, 102B support H.245 for negotiation of channel usage, Q.931 for call signaling and call setup, registration admission status (RAS), and

RTP/RTCP for sequencing audio and video packets. The H.323 terminals 102A, 102B may further implement audio and video codecs, T.120 data conferencing protocols and MCU capabilities. Further details concerning the Recommendation H.323 may be obtained from the International

5 Telecommunications Union (ITU); the Recommendation is hereby incorporated by reference in its entirety as if fully set forth herein. In addition, the gatekeeper 108 has coupled thereto a bandwidth allocation server (BWAS) 109 according to a specific embodiment of the invention. As will be discussed in greater detail below, the BWAS 109 monitors system bandwidth
10 usage and directs each H.323 terminal to adopt a particular codec or coding algorithm according to bandwidth availability. It is noted that in other specific embodiments the BWAS functionality may also be incorporated into the gatekeeper 109, placed on any terminal or server, or embodied as a separate unit separately coupled to the network 101, as long as the BWAS can
15 communicate with the endpoints. Thus, the figures are merely exemplary.

A logical diagram of an H.323 interface to LAN 101 is shown in FIG. 2, according to an embodiment of the present invention. The interface includes a known network terminal/device 10 utilizing the ITU-T H.323 protocol, and a packet network interface 13 that is coupled to network terminal 10. Network
20 interface 13 couples the H.323 device to LAN 101. H.323 terminals/devices and equipment carry real-time voice, video and/or data. It should be noted that H.323 is an umbrella recommendation that sets standards for multimedia communications, including telephony-over-LAN communications. The network can include packet-switched Transmission Control Protocol/Internet
25 Protocol (TCP/IP) and Internet Packet Exchange (IPX) over Ethernet, Fast Ethernet and Token Ring networks.

The network terminal 10 is coupled to a video input/output (I/O) interface 28, an audio I/O interface 12, a user application interface 19, and a system control user interface (SCUI) 20. Network terminal 10 also includes
30 an H.225 layer 24, a video coder/decoder (codec) 15, an audio codec 14, H.245 protocol functionality 18, Q.931 protocol functionality 16, and RAS protocol functionality 32.

As seen in FIG. 2, the video I/O interface 28 which may be part of the

standard H.323 device connects to the video codec 22 such as an H.261 codec for encoding and decoding video signals. Coupled between video I/O interface 28 and H.225 layer 24, video codec 22 translates encoded video signals to H.225 protocol signals. Although the H.261 codec can be the video
5 codec used for an H.323 terminal, other video codecs, such as H.263 codecs and others, may also be used for encoding and decoding video. The H.245 protocol is used to exchange terminal capability information such as the video coding algorithm. Generally, the called terminal specifies its capabilities to the calling terminal.

10 Audio I/O interface 12, which may be part of a standard H.323 terminal, connects to the audio codec 14, such as a G.711 codec, for encoding and decoding audio signals. Coupled to audio I/O interface 12, audio codec 14 is coupled to H.225 layer 24 and translates audio signals to H.225 protocol signals. Although the G.711 codec is the mandatory audio
15 codec for an H.323 terminal, other audio codecs, such as G.728, G.729, G.723.1, G.722, MPEG1 audio, etc. may also be used for encoding and decoding speech, in accordance with the present invention. G.723.1 typically is a preferred codec because of its reasonably low bit rate, which enables preservation of link bandwidth, particularly in slower speed network
20 connections. As is known, when communicating, H.323 terminals use a common coding algorithm or codec supported by all entities to the conversation/conference. This information is exchanged during an H.245 capability exchange phase.

The control layer 11 interfaced with SCUI 20 provides signaling and
25 flow control for proper operation of the H.323 terminal. In particular, all non-audio and non-video control signaling is handled via SCUI 20. Coupled to SCUI 20 in the control layer 11 are H.245 layer 18, Q.931 layer 16 and RAS layer 17, which couple to H.225 layer 24. Thus, SCUI 20 interfaces to the H.245 standard which is the media control protocol that allows capability
30 exchange, channel negotiation, switching of media modes and other miscellaneous commands and indications for multimedia communications. SCUI 20 also interfaces to the Q.931 protocol which defines the setup, teardown, and control of H.323 communication sessions. SCUI 20 further

interfaces to the Registration, Admission, Status (RAS) protocol that defines how H.323 entities can access H.323 gatekeepers to perform among other things address translation, thereby allowing H.323 endpoints to locate other H.323 endpoints via an H.323 gatekeeper. The H.225 standard layer 24,
5 which is derived from the Q.931 standard, is the protocol for establishing connection between two or more H.323 terminals and also formats the transmitted video, audio, data and control streams into messages for output to the network interface 13 (e.g., transport over IP network 101). The H.225 layer 24 also retrieves the received video, audio, data and control streams
10 from messages that have been input from network interface 50.

In addition, in accordance with the present invention, the H.323 terminal's control layer 11 may also include a coding resources unit 111 which is used to communicate coding resources to the bandwidth allocation server (BWAS), as will be described further below. User application interface
15 19, which may be a T.120 protocol interface as well as other types of protocol interfaces, also is coupled between H.225 layer 24 and a user device 21, which may be for example data equipment. Thus, an H.323 network may be configured to include several different devices. For example, the network may include a terminal for enabling users connected to a LAN to speak, a
20 terminal (i.e., gateway) for enabling a caller resident on the LAN to call a second user through the public switched network, and/or a terminal for enabling the adapter to communicate through a wireless trunk, using a wireless telephone. The device may also implement supplementary services according to the H.450 protocol specification.

25 The H.323 gateway 106 (FIG. 1) generally provides a translation function between H.323 conferencing endpoints and other terminal types and performs call setup and clearing on both the LAN side and switched circuit network (e.g., public switched telephone network or PSTN) side. The H.323 gatekeeper 108 performs address translation from LAN aliases for terminals
30 and gateways to IP or IPX addresses (as defined in the RAS specification) as well as bandwidth management (also specified within the RAS specification). The H.323 gatekeeper 108 may further be used for call routing. Further, according to a specific embodiment of the present invention, the gatekeeper

108 may include BWAS 109 which is used to specify coding algorithms (e.g., audio, video and/or both) which may be used by particular H.323 terminals, based on available system bandwidth. The BWAS 109 communicates the required coding algorithm to the H.323 terminals using RAS messaging. The H.323 terminals then use standard H.245 signaling to negotiate coding capabilities among themselves. It is noted that, while described primarily with regard to audio coding, the present invention is equally applicable to video coding as well.

More particularly, an exemplary BWAS 109 is illustrated in FIG. 3. The BWAS 109 includes a network interface 304 (which may simply be part of the standard gatekeeper interface in some embodiments) which allows for communication to and from the network terminals. In particular, RAS messaging may be employed by BWAS 109 to control bandwidth usage by defining the codecs that may be used by the idle H.323 terminals.

A bandwidth monitor 306 and a control processor 302 are coupled to the network interface 304. The bandwidth monitor 306 monitors bandwidth usage, for example, by counting the number of active calls being processed by the gatekeeper or by other known methods, e.g., monitoring bit rates. The control processor 302 is coupled to a memory 308 which is used to store bandwidth threshold information, for example in the form of look-up tables. The memory 308 may also be used to store information concerning the coding capabilities of each of the H.323 terminals. In the discussion below, "H.323 terminals" may be any H.323 endpoint such as an H.323 client or an H.323 connection in gateway 106. The control processor 302 supervises coding request transmissions, reception of the coding information, and determination of whether a coding adjustment is necessary. In specific embodiments, the BWAS 109 continuously monitors traffic on the local segment to determine whether traffic has crossed any thresholds, and BWAS 109 may communicate with other monitoring agents located on other segments to determine their bandwidth usage. Therefore, BWAS 109 can measure and track the network traffic to make the determinations of the relevant thresholds being crossed, as discussed below. In other embodiments, the BWAS 109 also maintains a database of ongoing calls,

their bandwidth usage, and their QoS (quality of service) requirements. In particular, the BWAS 109 is dynamically aware of whether ongoing calls are at or below their requested QoS. If one or more new calls require a higher QoS (i.e., bandwidth), then the BWAS 109 determines whether lower QoS
 5 calls may be reset to a still lower QoS codec, as will be discussed below.

As an example, a flowchart illustrating operation of one embodiment of the invention is shown in FIG. 4. In a step 402, the bandwidth allocation server (BWAS) 109 receives configuration information concerning the bandwidth threshold X, which is the threshold that must be met before
 10 reducing codec speeds. The threshold X, typically measured in Megabits per second (Mbps), is stored in the memory 308. In a step 404, the BWAS 109 similarly receives configuration information concerning the threshold Y, which is the threshold that must be met before restoring coding algorithm choices. The threshold Y is also stored in the memory 308. Of course, the order of
 15 receiving thresholds X and Y may be reversed.

Next, in a step 406, the BWAS 109 sends a request message to the H.323 terminals, requesting that they return an indication of their available coding algorithms and hierarchies. According to one embodiment, the request is in the form of an RAS message. The request message is received
 20 at the H.323 terminals in their coding resource units 111 (see FIG. 2). The terminals' coding resource units 111 access this information, in a manner similar to that in which the terminals access coding information prior to beginning communication with another endpoint. The information is then transferred to the BWAS 109, either in the form of an RAS message or by
 25 using H.245 signaling.

In a step 408, the coding algorithms/hierarchy information is received by the BWAS 109 via the network interface 304 and stored by the processor 302 in the memory 308. Next, in a step 410, the BWAS 109, in particular the bandwidth monitor 306, proceeds to monitor system bandwidth usage. A
 30 signal representative of system bandwidth usage is provided to the processor 302, which accesses the memory 308 for the threshold value X. The processor compares the system bandwidth usage against the threshold value X, and determines, in a step 412, whether system bandwidth usage has

exceeded the threshold X. If not, the bandwidth monitor 306 continues to monitor bandwidth usage (return to step 410). However, if bandwidth usage is determined to exceed the threshold X, then in a step 414, the BWAS 109 sends a command to the H.323 terminals ordering them to adjust their coding hierarchies so that a lower speed codec is employed (the adjustment can be either stepping down to the next fastest allowed coding algorithm or alternatively stepping down directly to a selected algorithm, e.g., the slowest coding algorithm). Again, this may take the form of an RAS message or H.245 signaling. Each H.323 terminal's coding resource unit 111 then adjusts the hierarchy so that the higher-speed, more bandwidth-intense coding algorithms are not employed.

The determination of how far to lower the bandwidth in step 414 may be based on a variety of factors, including load, traffic expectations, and the like. It being understood that any of a variety of methods may be employed, an exemplary method is described as follows. The BWAS 109 calculates the remaining network bandwidth divided by the number of idle users to obtain a demand, D, which is the demand allocable to each of the users if it placed a call. The demand, D, is then modified by two pre-configured factors which are stored in the memory 308. The first factor is the percentage of voice load allowed (VLA), representative of, for example, the percentage of bandwidth remaining after data usage is determined. Thus, if data calls are allowed 60% of network bandwidth, then VLA = 40%. The second factor is the percentage of calls expected to be activated (EA). For example, if there are 100 terminals, and only half are expected to be active at any time, then EA = 50%.

A modified demand (MD) is then calculated according to the following formula: $MD = (D * VLA)/EA$. For example, if the threshold X were to be exceeded such that 1 Mbps network bandwidth is remaining, and 50 idle users were present, then D would be 1 Mbps/50 users = 20 kilobits per second (kbps)/user. The modified demand (MD) would then be $(20 \text{ kbps/user} * 40 \%) / 50\% = 16 \text{ kbps/user}$.

Based on the modified demand (MD), the BWAS 109 determines that the first coding algorithm in each H.323 terminal's hierarchy that is lower than MD should be selected. In the example above, the first coding algorithm that

is 16 kbps or lower should be selected. If the terminal does not have such a coding algorithm, the next lowest is to be employed (alternatively, the lowest coding algorithm is to be employed). Each H.323 terminal is provided with a message from BWAS 109 directing it to reset its coding algorithm to the appropriate coding algorithm.

Returning to FIG. 4, the BWAS 109 continues in step 416 to monitor system bandwidth usage. Again, the bandwidth monitor 306 provides a signal to the processor 302 indicative of system bandwidth usage. In response, the processor 302 accesses the memory 308 for the threshold Y.

As discussed above, the threshold Y is the bandwidth usage threshold below which the default hierarchy of coding algorithms may be employed. The processor 302 then compares the bandwidth usage provided from the bandwidth monitor 306 with the threshold Y, in a step 418. If usage has not fallen below the threshold Y, then the bandwidth monitor continues to monitor bandwidth usage (return to step 416). If, however, the bandwidth usage has fallen below the threshold Y, then in a step 420, the BWAS 109 sends a message to each of the H.323 terminals directing them to restore their predetermined choice of coding algorithms or, alternatively, a BWAS-specified coding algorithm (for example, the re-adjustment can be stepping up to the next fastest coding algorithm or alternatively stepping up directly to a selected algorithm, e.g., the fastest coding algorithm). Each terminal's coding resource unit 111 then re-adjusts the coding algorithm hierarchy accordingly.

An alternative embodiment of a method for adjusting bandwidth according to the present invention is described with reference to FIG. 5. In particular, FIG. 5 is a flowchart illustrating a method in which coding algorithm information is not required by the BWAS 109. Instead, the BWAS 109 simply monitors bandwidth usage and orders each H.323 terminal to adjust to slower coding algorithms according to a fixed, predetermined schedule along the algorithm hierarchy.

In a step 502, the bandwidth allocation server (BWAS) 109 receives configuration information concerning the bandwidth threshold X, which is the threshold that must be met before reducing codec speeds. The threshold X, typically measured in Mbps, is stored in the memory 308. In a step 504, the

BWAS 109 similarly receives configuration information concerning the threshold Y, which is the threshold that must be met before restoring coding algorithm choices. The threshold Y is also stored in the memory 308. Of course, the order of receiving thresholds X and Y is not important.

5 Next, in a step 506, the BWAS 109, more particularly the bandwidth monitor 306, monitors the system bandwidth usage. Again, a signal representative of system bandwidth usage is provided to the control processor 302, which accesses the memory 308 for the threshold value X. The processor compares the system bandwidth usage against the threshold

10 value X, and determines in a step 508 whether system bandwidth usage has exceeded the threshold X. If not, the bandwidth monitor 306 continues to monitor bandwidth usage (return to step 506). However, if bandwidth usage is determined to exceed the threshold X, then in a step 510 the BWAS 109 sends a command to the H.323 terminals ordering them to adjust their coding

15 hierarchies (the adjustment being either stepping down to the next fastest coding algorithm or alternatively stepping down directly to a selected algorithm, e.g., their slowest coding algorithms). Each H.323 terminal's coding resource unit 111 then adjusts the hierarchy so that the higher-speed, more bandwidth-intense coding algorithms are not employed.

20 According to this embodiment, the selection in step 510 of the slower coding algorithm is done on a predetermined basis. For example, the BWAS 109 may send an RAS command or H.245 signaling to the H.323 terminals to step down to the next fastest coding algorithm. Alternatively, the BWAS 109 may command the H.323 terminals to step down directly to their slowest

25 coding algorithms. The coding resource unit 111 of each of the H.323 terminals receives the message and adjusts its terminal's coding hierarchy.

 Once the H.323 terminals have re-set their default choices for coding algorithms, the bandwidth monitor 306 continues to monitor bandwidth usage, in a step 512. The bandwidth monitor 306 provides a signal indicative of

30 bandwidth usage to the processor 302. The processor 302, in turn, accesses the memory 308 for the threshold value Y. The processor then performs a compare operation, comparing the threshold value Y with the bandwidth signal received from the bandwidth monitor 306, in a step 514. If the

bandwidth usage level is above or equal to Y, then the system continues to monitor usage (return to step 512). If, however, bandwidth usage levels drop below the threshold value Y, then the processor 302 issues a command onto the network allowing the H.323 terminals to re-adjust their coding algorithm hierarchies. Again, this may take the form of an RAS message or H.245 signaling, with the re-adjustment being either stepping up to the next fastest coding algorithm or alternatively stepping up directly to a selected algorithm, e.g., the fastest coding algorithm. Each H.323 terminal's coding resource unit 111 then adjusts accordingly the coding hierarchy so that the higher-speed, more bandwidth-intense coding algorithms are allowed to be employed.

In the various specific embodiments of the present invention discussed above, the bandwidth can thus be continuously monitored for changes in network traffic such that dynamic adjustment of the coding algorithms is accomplished.

In the above embodiments, once the H.323 terminals receive their new coding hierarchies, calls are processed in the standard fashion. Thus, for example, turning now to FIG. 6, a flowchart illustrating call setup employing a coding hierarchy adjustment system according to the invention is shown. In particular, in a step 602, a calling H.323 terminal issues an Admission Request (ARQ) message to the gatekeeper 108. In a step 604, the gatekeeper 108 accepts by issuing an Admission Confirm (ACF) message (it is noted that the gatekeeper 108 could reject by responding with an Admission Reject (ARJ) message, but for purposes of illustration, it is assumed that an ACF message is sent). In a step 606, the calling H.323 terminal sends a Q.931 Setup message to the called H.323 terminal. In a step 608, the called H.323 terminal sends an ARQ message to the gatekeeper 108 which responds with an ACF message in a step 610 (again, a reject message may also be provided, rather than an accept message). Once this acceptance has issued, an H.245 sequence follows, in a step 612, in which the calling and called H.323 terminals communicate with one another concerning the common coding algorithm which is to be employed. As discussed above, the H.323 terminals must find a common algorithm. The H.323 terminals step through their hierarchies until one is found. According to

the present invention, this determination may be based on use of the bandwidth-adjusted new coding hierarchy. It is noted that the H.245 sequence may also include bandwidth requests and allocations according to the H.323 Recommendation. Such standard bandwidth messaging is
5 unaffected by the present invention, except to the extent that the individual H.323 terminals base their bandwidth requests upon bandwidth requirement determinations that have resulted after their readjustments in response to the BWAS 109.

Finally, when the call is terminated, in a step 614, both H.323 terminals
10 send a Disengage Request (DRQ) message to the gatekeeper 108. In turn, the gatekeeper 108 responds with a Disengage Confirm (DCF) message.

As discussed above, one aspect of the present invention is the renegotiation of codec usage while calls are ongoing. FIG. 7 is a flowchart illustrating this procedure. In a step 702, in a manner similar to that described
15 above, the BWAS 109 is provided with the bandwidth renegotiation criteria, that is, the criteria or thresholds which must be met before the BWAS causes renegotiation of codecs. In addition, the BWAS stores selection criteria identifying which endpoints have their codecs renegotiated. Selection criteria may be based, for example, on QoS and current bandwidth allocation, or
20 whether the call is internal or external, or other predetermined criteria. For example, as will be discussed in greater detail below, a number of existing calls may be associated with a medium QoS level; that is, a high QoS level is not required. The subsequent call may be associated with a high QoS, i.e., it is important that the connection is of high quality. If the difference between
25 the QoS levels meets a threshold, then the existing call(s) will have its (or their) codecs renegotiated to a lower level. If codecs have already been renegotiated lower once, then the BWAS monitors whether they should be renegotiated still lower, or whether they can be restored to their original levels.

Returning to FIG. 7, in a step 704, the BWAS 109 and, particularly, the
30 bandwidth monitor 308 monitors the condition of the network and, particularly, bandwidth usage. If the criteria for renegotiation of codecs are not met, as determined in a step 706, then the process returns to step 704, i.e.,

monitoring continues. However, if one or more of the criteria are met, then in a step 708, the BWAS 109 sends one or more control signals to the endpoints directing them to renegotiate their codecs. As discussed above, this may be a command to negotiate lower speed codecs or higher speed
 5 codecs. In a step 710, the endpoints renegotiate their codecs, using standard H.323 signaling. The previous codecs are then dropped, in a step 712. The system then cycles back to step 704, i.e., network monitoring, after an optional configurable delay (step 714) to prevent the possibility of the same connection from being repeatedly downgraded.

10 As discussed above, a number of criteria may be used to determine whether a renegotiation of codec speeds for one or more existing connections is to occur. One method of doing so is similar to the percent-data traffic allowed method described above. That is, if the amount of data traffic exceeds a predetermined threshold, the codec renegotiation process is
 15 undertaken.

Another method, employing QoS levels, is described with reference to FIG. 8. In a step 800, the BWAS 109 saves the requested QoS levels for existing calls as well as the actual QoS level being provided. For example, the control processor 302 may save this information in the memory 308. In a
 20 step 802, the BWAS 109 receives a new call setup request QoS level from an H.323 endpoint during call setup. In a step 804, the BWAS 109 compares the requested QoS level to available bandwidth. If the requested bandwidth is available, as determined in a step 806, then the call is completed in a step 808. However, if in step 806, it was determined that the requested bandwidth
 25 was not available, then in a step 810, the BWAS 109 accesses a database to determine whether any existing calls are available which can have their bandwidths lowered. For example, if there exists a current connection having a lower QoS than the requested one, the existing connection's QoS may be downgraded. Alternatively, if there exist connections whose QoS is presently
 30 more than needed or requested, the connection may be eligible to have its codec renegotiated. Similarly, various connections may be pre-set in a hierarchy identifying whether they can be renegotiated. In any case, if no such connections are available, as determined in a step 812, then in a step

816, the requested connection is completed at a lower bandwidth. If, however, the existing connections may be downgraded, the renegotiate lower codec speed process is undertaken in a step 814, as described above, and the call is made (step 808).

5 As noted above, control and call signaling in particular embodiments is generally standard H.323 signaling. However, one implementation of the present invention provides additional commands to effect codec renegotiation.

In particular, with reference to FIG. 9, in a step 902, an endpoint Client
10 1 wants to establish a call to another endpoint, Client 2. The endpoint Client 1 sends an ARQ message (AdmissionRequest) to the gatekeeper GK. The gatekeeper GK responds with an ACF (AdmissionConfirm) message to Client 1, in a step 904. The ACF message includes a Call Signaling Transport Channel Address of the gatekeeper GK. In a step 906, in response to the
15 ACF message, the endpoint Client 1 sends an H.225.0 setup message to the gatekeeper GK, including a Globally Unique Call Identifier to identify the call.

In a step 908, the gatekeeper GK relays the H.225.0 setup message to the endpoint Client 2. In response, in a step 910, the endpoint Client 2 conducts an ARQ/ACF exchange with the gatekeeper GK. In a step 912, the
20 endpoint Client 2's sends H.225.0 Alerting and Connect messages to the gatekeeper GK as the call progresses to the connect state. The gatekeeper GK, in turn provides the Alerting and Connect messages to the endpoint Client 1 In a step 914. The Alerting or Connect message includes the Gatekeeper H.245 Control Channel Transport Address, which is used, in a
25 step 915, to establish the H.245 control channel. Next, an H.245 capability exchange is undertaken, in a step 916. In a step 917, the media channel is opened between endpoint Client 1 and Client 2.

Then, in a step 918, the BWAS 109 receives the QoS information regarding the call that is being established. In a step 920, the BWAS
30 monitors the condition of the network. In a step 922, if the particular change codec criteria have been met, then in a step 924, the BWAS 109 causes the gatekeeper GK to issue a ChangeCodecSpeed command to the relevant calling party endpoint(s), in this example, endpoint Client 1. The

ChangeCodecSpeed command includes a “higher” or “lower” parameter, as appropriate. Then, in a step 926, the endpoint Client 1 adjusts its codec speed and sends a LowerCodecSpeed command (or, if appropriate, a HigherCodecSpeed command) to the gatekeeper GK, which identifies the existing call whose codec is to be renegotiated. In a step 928, this command is forwarded to the endpoint Client 2. The codec renegotiation then takes place over the H.245 control channel, in a step 930. Once the renegotiation has occurred, the previously-used codec(s) are dropped, in a step 932, the system cycles back to step 920 (after an optional configurable delay), and the call is made (step 933). If, in step 922, the criteria had not been met, the communication would have been established without codec renegotiation, in step 923.

A similar command sequence is used in an implementation employing the H.323 direct signaling model. In a step 950, the endpoint Client 1 sends an ARQ message to the gatekeeper GK requesting that a call to endpoint Client 2 be allowed using a direct call model. In a step 952, the gatekeeper GK responds with an ACF message to the endpoint Client 1. The ACF message includes a Call Signaling Transport Channel Address of the endpoint Client 2. In a step 954, in response to the ACF message, endpoint Client 1 sends an H.225.0 Setup message directly to endpoint Client 2. In response to the setup message, in a step 956, the endpoint Client 2 conducts an ARQ/ACF exchange with the gatekeeper GK. Next, in a step 958, the endpoint Client 2 sends an H.225.0 Connect message to the endpoint Client 1 to progress the call to a connect state. In a step 960, the endpoint Clients 1 and 2 exchange H.245 terminal capability messages. In a step 962, the endpoints Client 1 and Client 2 exchange H.245 master-slave determination messages and any other needed H.245 messages. In a step 964, a media channel is opened between the endpoints.

Alternatively, the exchange of ARQ/ACF messages may be omitted. That is, a direct call may be established between the endpoints client 1 and 2 with no involvement of gatekeeper GK. In this scenario, steps 950, 952, and 956 are omitted. That is, in a step 952A, the endpoint Client 1 sends an

H.225.0 message directly to endpoint Client 2. This causes endpoint Client 2 to process the received H.225.0 Setup message. Next, steps 958, 960, 962, and 964 as described above are followed.

Then, in a step 968, the BWAS 109 receives the QoS information
5 regarding the call that is being established. In a step 970, the BWAS monitors the condition of the network. In a step 972, if the particular change codec criteria have been met, then in a step 974, the BWAS 109 issues a ChangeCodecSpeed command to the relevant calling party endpoint(s), in this example, endpoint Client 1. The ChangeCodecSpeed command includes
10 a "higher" or "lower" parameter, as appropriate. Then, in a step 976, the endpoint Client 1 adjusts its codec speed and sends a LowerCodecSpeed command (or, if appropriate, a HigherCodecSpeed command) directly to the endpoint Client 2. The renegotiation then takes place over the H.245 control channel, in a step 978. Once the renegotiation has occurred, the previously-
15 used codec(s) are dropped, in a step 980, and the call is made, in a step 982, and the system cycles back to monitoring (step 970). If the criteria were not met in step 972, then in a step 981, the connection is made at a lower speed.

WHAT IS CLAIMED IS:

- 1 1. A telecommunications system, comprising:
2 a packet switched network;
3 one or more telephony devices coupled to said packet switched
4 network, said one or more telephony devices configured to communicate
5 using one or more coding algorithms; and
6 a bandwidth allocation server configured to cause a
7 renegotiation of which of said coding algorithms said one or more telephony
8 devices communicates while said one or more telephony devices are
9 communicating using a predetermined coding algorithm
- 10 2. A telecommunications system in accordance with claim 1, said
11 packet switched network being H.323 compatible.
- 1 3. A telecommunications system in accordance with claim 1, said
2 bandwidth allocation server configured to initiate said renegotiation if one or
3 more existing connections have a QoS level which may be altered.
- 1 4. A telecommunications system in accordance with claim 1, said
2 bandwidth allocation server configured to initiate said renegotiation if a level
3 of data traffic exceeds a predetermined threshold.
- 1 5. A method for operating a telecommunication system,
2 comprising:
3 monitoring network usage; and
4 changing codec speed for one or more ongoing connections
5 based on said monitoring network usage.
- 1 6. A method according to claim 5, including determining whether
2 an existing connection has a lower quality of service (QoS) than
3 another connection, and changing said codec speed for said existing
4 connection responsive to said determining.

1 7. A method according to claim 5, including determining whether
2 data traffic on said network has exceeded a predetermined threshold.

1 8. A telecommunications device, comprising:
2 means for establishing a connection with another telecommunications
3 device using a first coding algorithm; and
4 means for changing said communication from said first coding
5 algorithm to a second coding algorithm.

1 9. A telecommunications device according to claim 8, including
2 means for directing said another telecommunications device to
3 renegotiate coding algorithm from said first to said second coding
4 algorithm.

1 10. A telecommunications device according to claim 8, said
2 changing means including means for monitoring network usage.

1 11. A telecommunications device according to claim 10, wherein
2 said monitoring means monitors network usage for levels of data
3 traffic.

1 12. A telecommunications device according to claim 10, wherein
2 said monitoring means monitors network usage for actual and
3 requested quality of service (QoS) levels.

1 13. A telecommunications device according to claim 12, wherein
2 said changing means changes from said first coding algorithm to said
3 second coding algorithm if said connection has a lower QoS than
4 another connection.

ABSTRACT OF THE DISCLOSURE

A bandwidth adjustment server (BWAS) (109) is provided which monitors system bandwidth usage, sends requests to terminals (102A, 102B and/or 106), to identify their coding capabilities, and directs each of the
5 terminals to adjust their coding algorithms based on system bandwidth usage.

If system bandwidth usage is high, the BWAS (109) requires the terminals to employ a less bandwidth intensive coding algorithm; similarly, when system bandwidth usage is low, the BWAS (109) will allow the terminals to employ higher bandwidth use coding algorithms. Codec renegotiation may be
10 initiated if there is a disparity between the bandwidth allocated to new connections versus ongoing connections or an increase in data traffic.

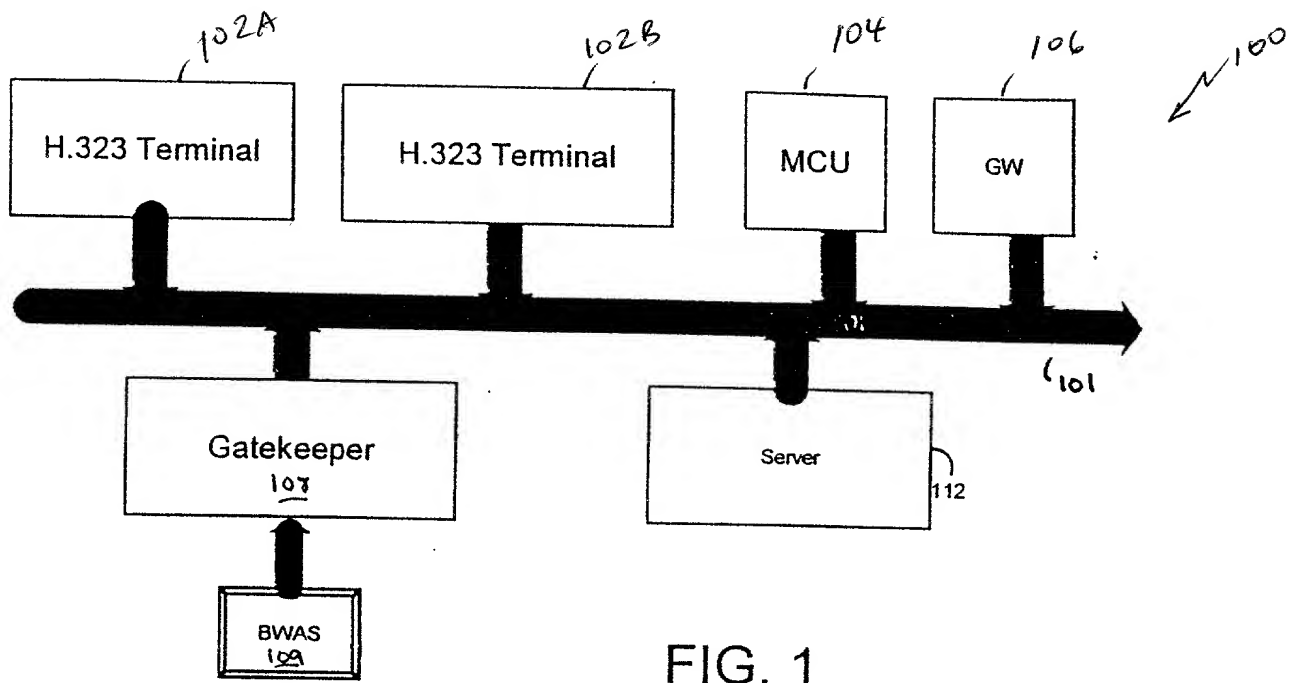


FIG. 1

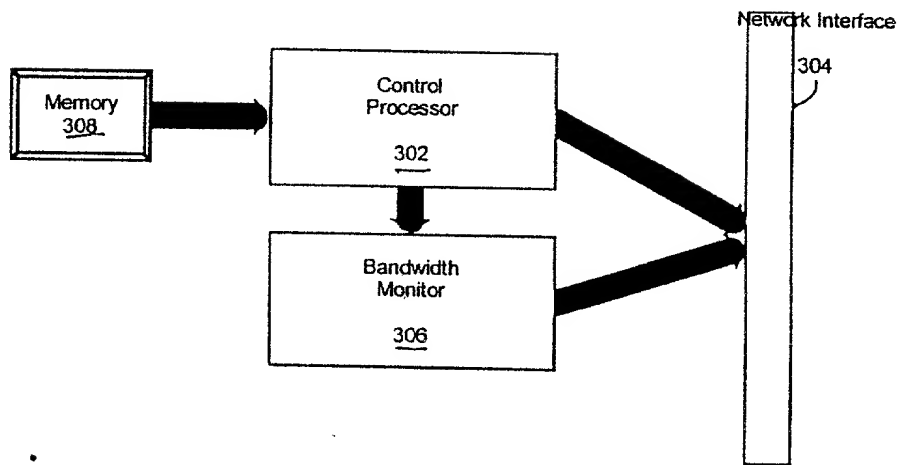


FIG. 3

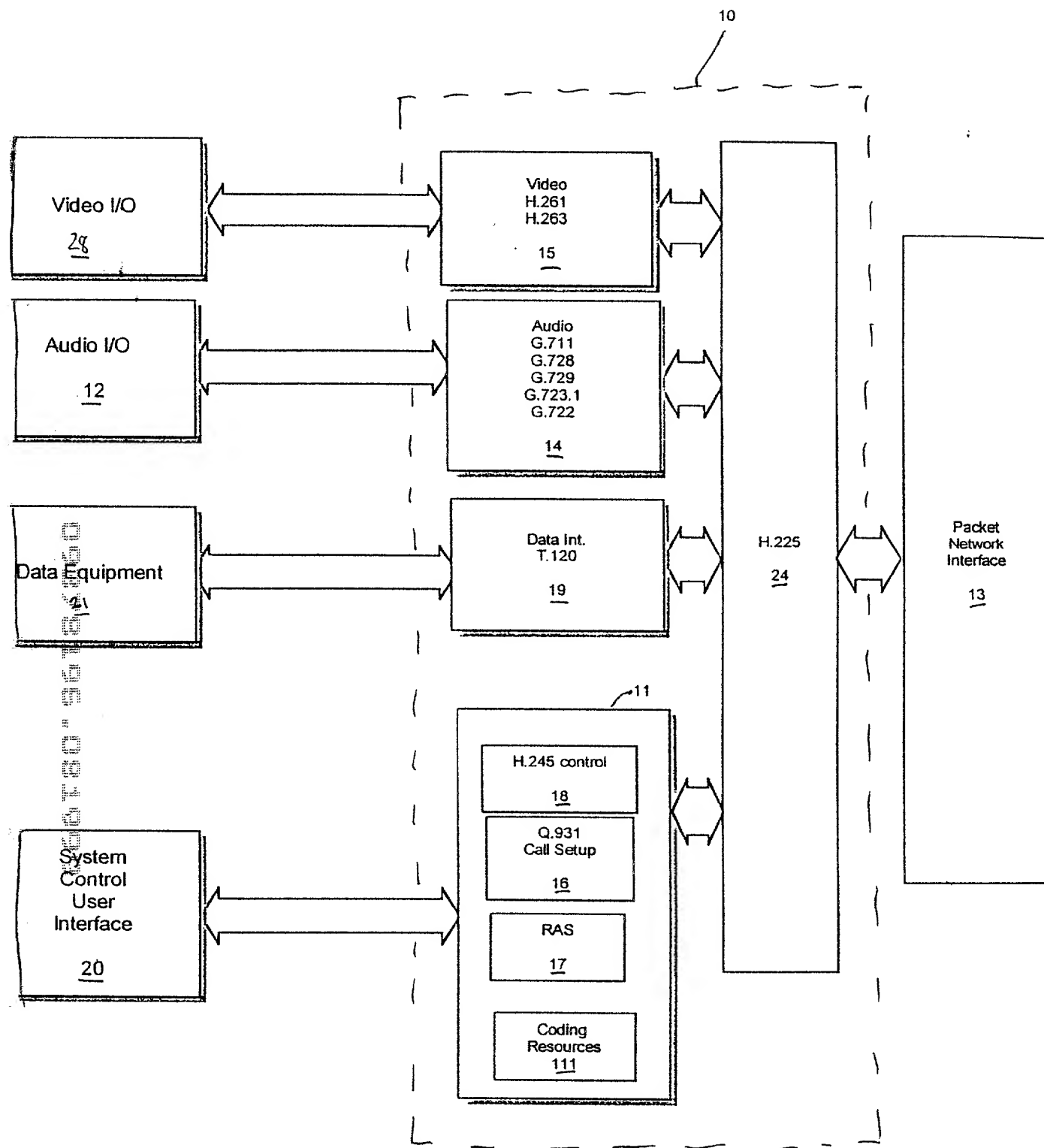


FIG. 2

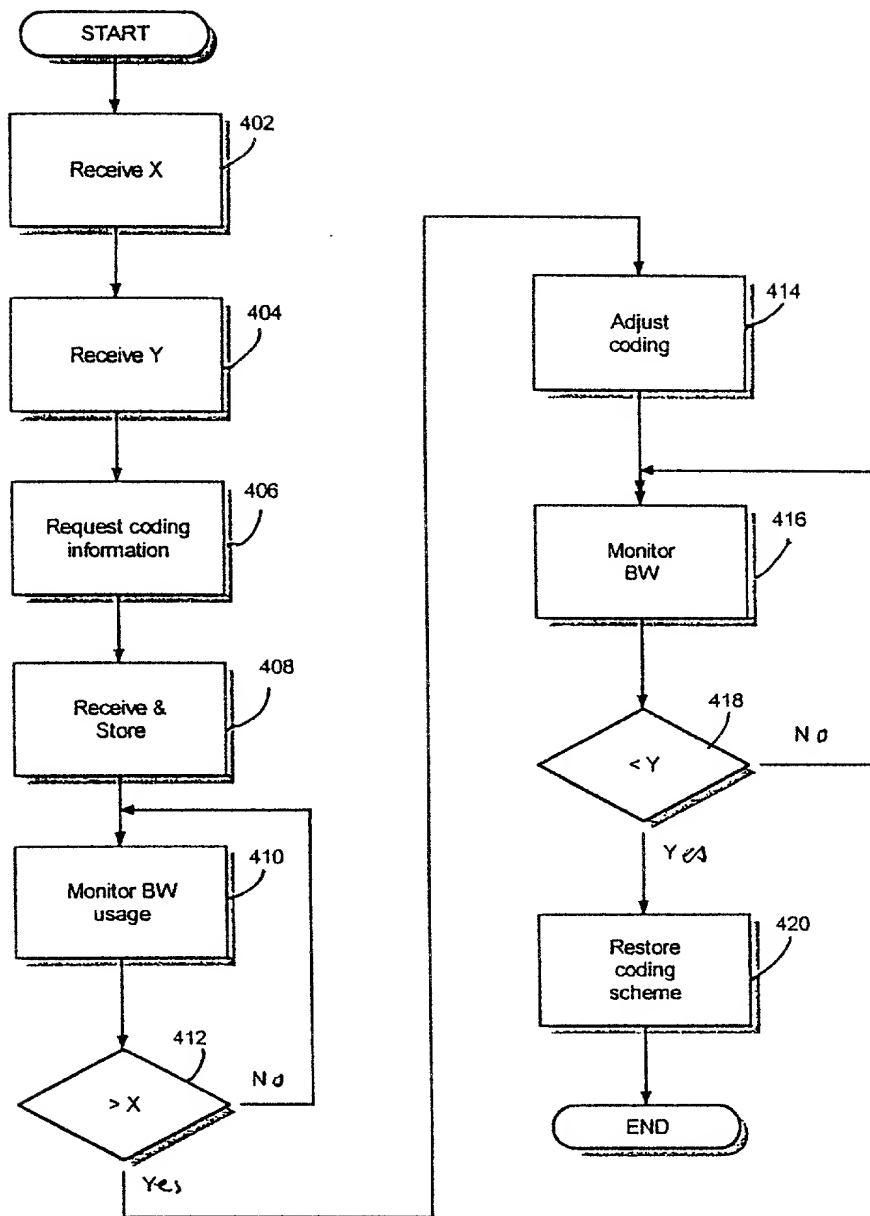


FIG. 4

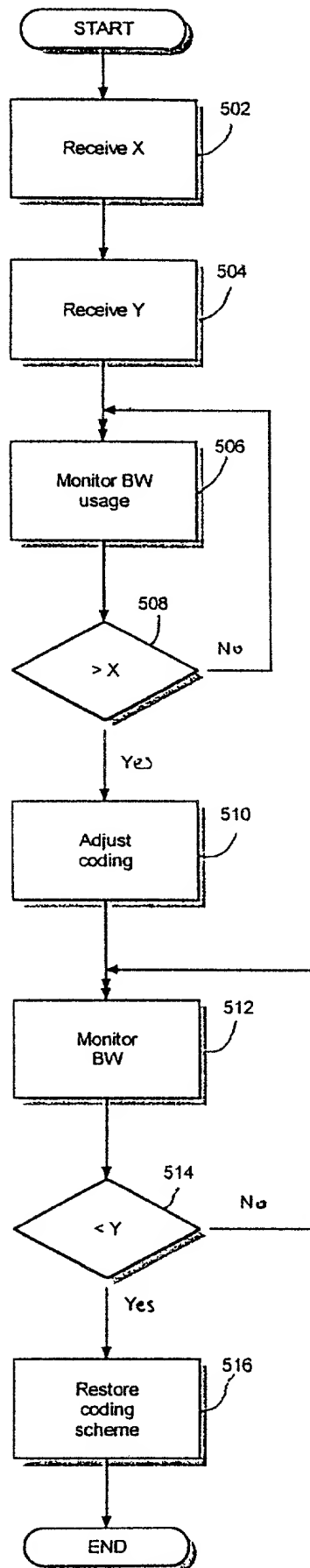


FIG. 5

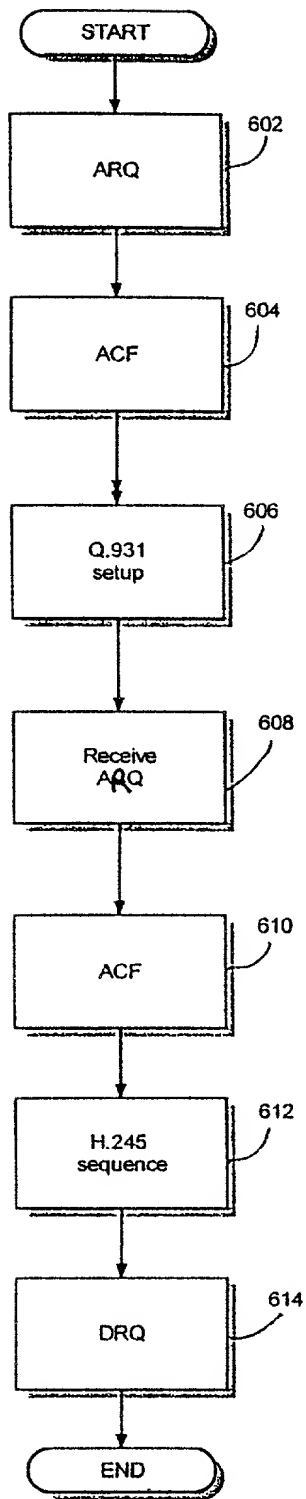


FIG. 6

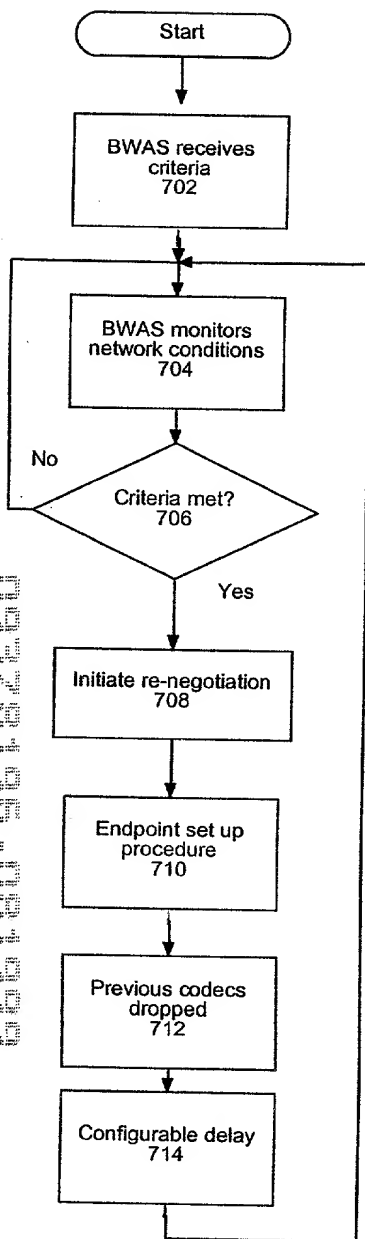


FIG. 7

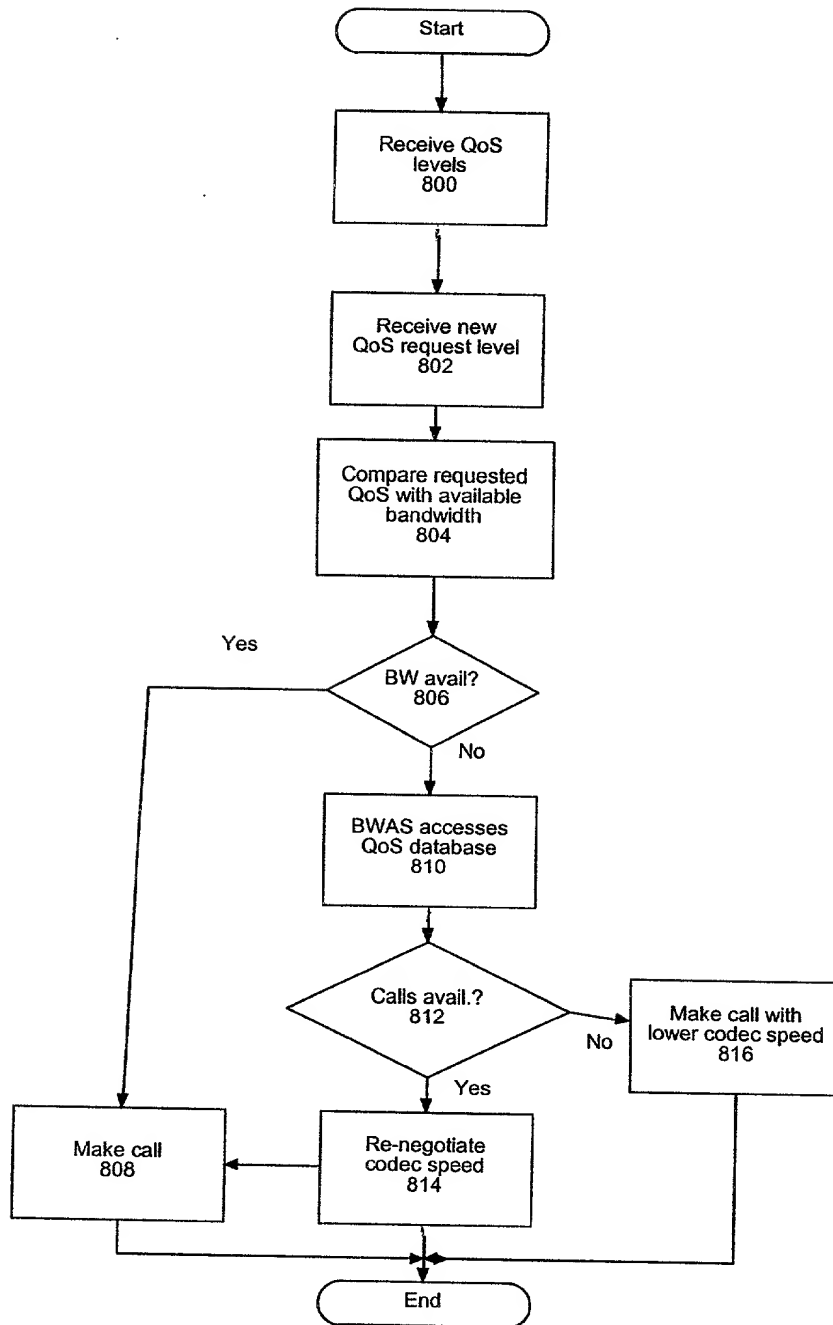


FIG. 8

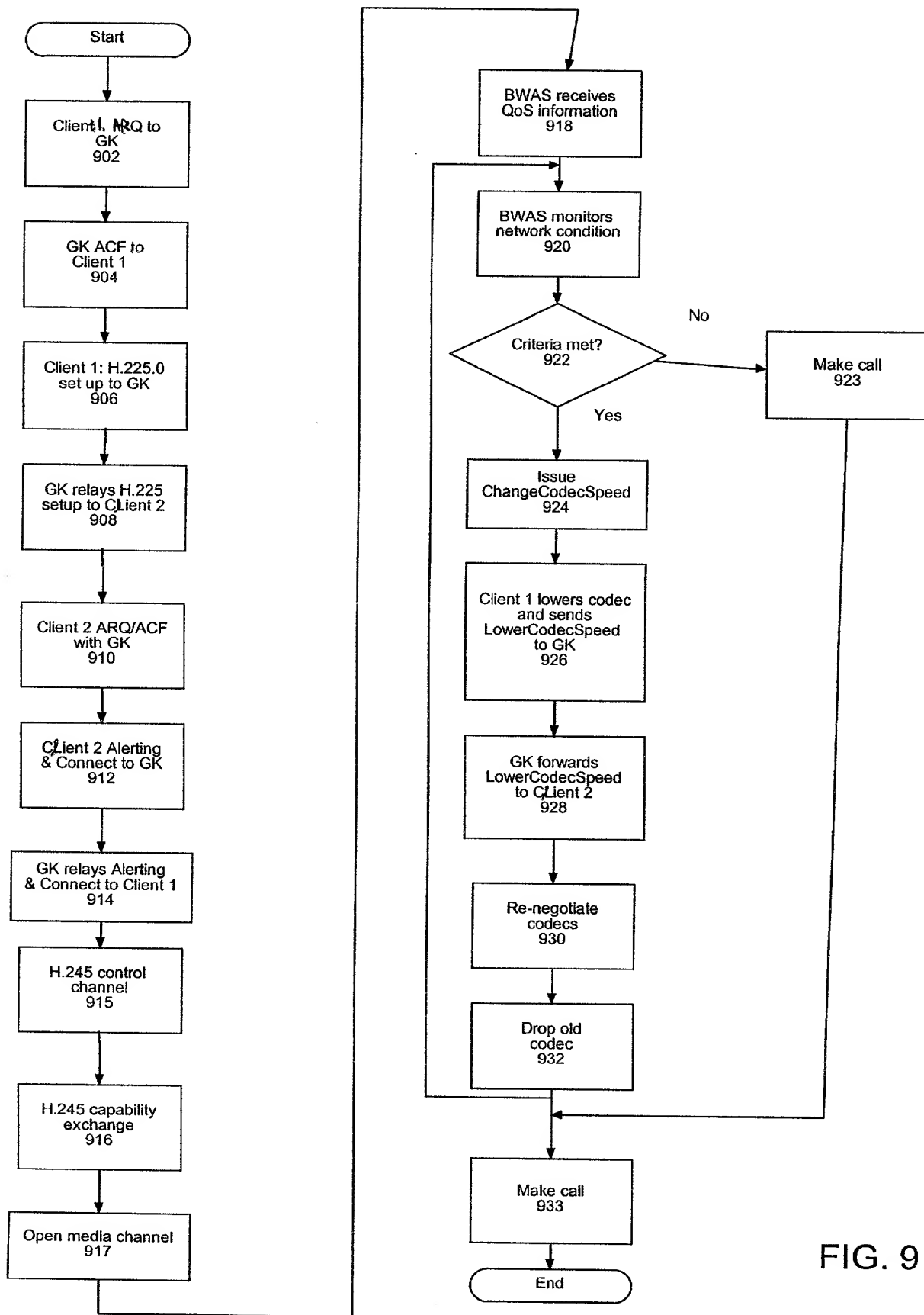


FIG. 9

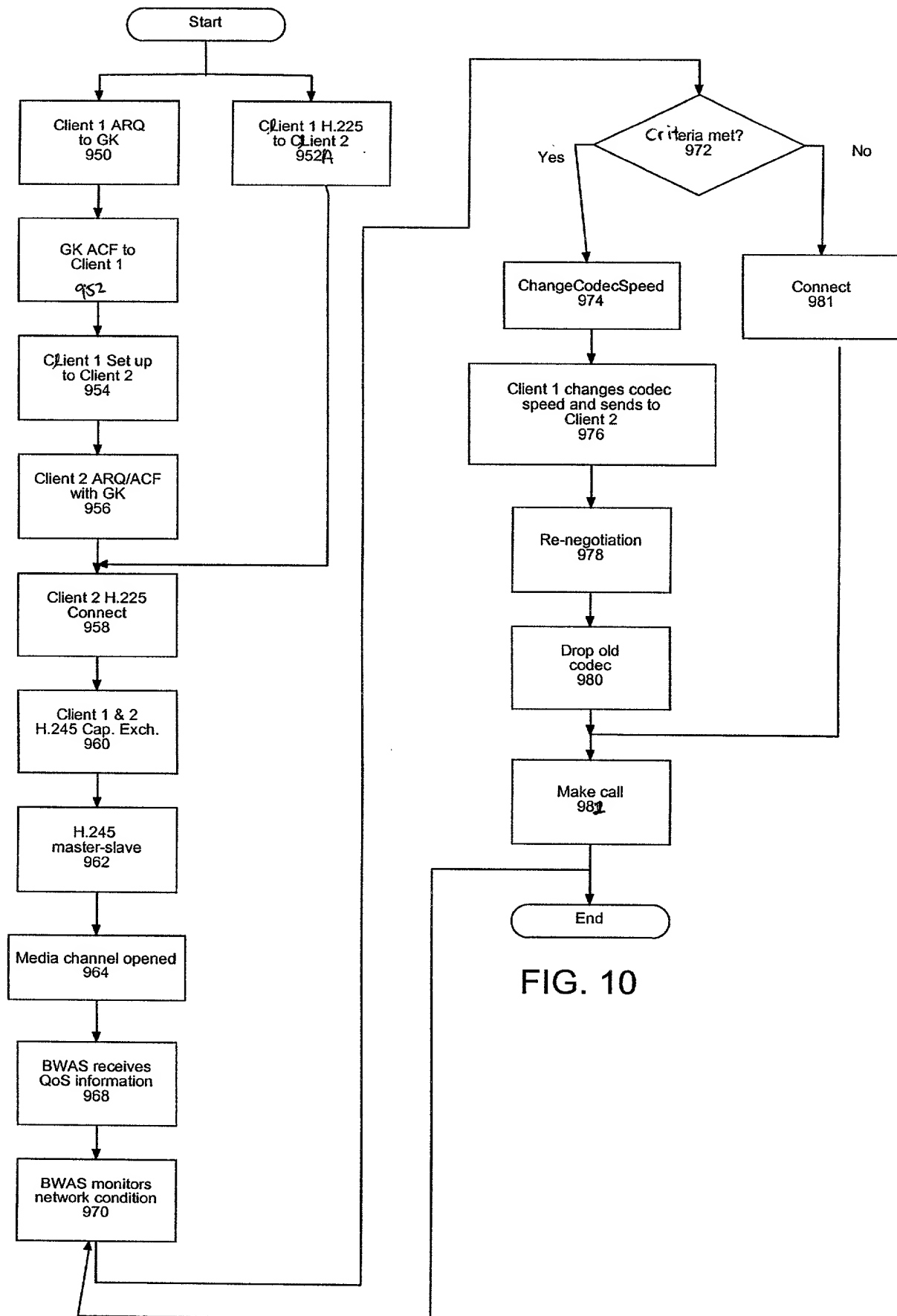


FIG. 10

DECLARATION FOR PATENT APPLICATION & POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR DYNAMIC CODEC ALTERATION

the specification of which (check one)

☒ is attached hereto.

☐ was filed on _____ as Application Serial No.

and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Codes, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

Priority claimed

(Number)	(Country)	(Day/month/year filed)	Yes	No
----------	-----------	------------------------	-----	----

(Number)	(Country)	(Day/month/year filed)	Yes	No
----------	-----------	------------------------	-----	----

(Number)	(Country)	(Day/month/year filed)	Yes	No
----------	-----------	------------------------	-----	----

I hereby claim the benefits under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this

application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

09/238,671	1/26/99	Pending
(Application Serial No.)	(Filing date)	(Status)
		(patented,pending,abandoned)
(Application Serial No.)	(Filing date)	(Status)
		(patented,pending,abandoned)

Power of Attorney: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Adel A. Ahmed, Reg. No. 29,606; Donald M. Boles, Reg. No. 29,895; Dexter K. Chin, Reg. No. 38,842; Joseph S. Codispoti, Reg. No. 31,819; Lawrence C. Edelman, Reg. No. 29,299; Mark H. Jay, Reg. No. 27,507; Rosa S. Kim, Reg. No. 39,728; Peter A. Luccarelli, Jr., Reg. No. 29,750; Jeffrey P. Morris, Reg. No. 25,307; Donald B. Paschburg, Reg. No. 33,753; Darryl A. Smith, Reg. No. 37,723; Heather S. Vance, Reg. No. 39,033; and Ira Lee Zebrak, Reg. No. 31,147.

Send correspondence to:

Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, N.J. 08830

Direct telephone calls to:

Elsa Keller
Legal Administrator (732) 321-3026

I hereby declare that all statements made herein on my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of
inventor:

Shmuel Shaffer

Inventor's signature:

Shmuel Shaffer

Date:

8/17/99

Residence:

Palo Alto, CA 94301

Citizenship:

U.S.A.

Post Office Address:

1211 Cowper Street, Palo Alto, CA 94301

Full name of
inventor:

William Joseph Beyda

Inventor's signature:

William J Beyda

Date:

8/17/99

Residence:

Cupertino, CA 95014

Citizenship:

U.S.A.

Post Office Address:

21580 Edward Way, Cupertino, CA 95014